

NOV 01 2006

Docket No.: SHIGA7.045APC

Customer No. 20,995

## INFORMATION DISCLOSURE STATEMENT

Applicant : Masuda et al.  
App. No : 10/568,126  
Filed : February 14, 2006  
For : POSITIVE PHOTORESIST  
COMPOSITION AND RESIST  
PATTERN FORMATION  
Examiner : John S. Y. Chu  
Art Unit : 1752

CERTIFICATE OF FAX TRANSMISSION  
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November 1, 2006

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Neil S. Bartfeld, Ph.D., Reg. No. 39,901

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 1  
reference to be considered by the Examiner.

This Information Disclosure Statement is being filed before the mailing date of a final action  
and before the mailing date of a Notice of Allowance.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a  
communication from a foreign Patent Office in a counterpart foreign application, or, to my  
knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R.  
§ 1.56(c) more than 30 days prior to the filing of this Information Disclosure Statement.

Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

Respectfully submitted,

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

Dated: November 1, 2006

By: 

Neil S. Bartfeld, Ph.D.  
Registration No. 39,901  
Agent of Record  
Customer No. 20,995  
(619) 235-8550

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PTO/SB/06 Equivalent

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Application No.	10/568,126	
	Filing Date	February 14, 2006	
	First Named Inventor	Yasuo Masuda	
	Art Unit	1752	
(Multiple sheets used when necessary)		Examiner	John S. Y. Chu
SHEET 1 OF 1		Attorney Docket No.	SHIGA7.045APC

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	6,013,411	11-01-2000	Aoi et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>

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Examiner Signature	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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November 1, 2006

Page 1 of 1

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**Please Direct All Correspondence to Customer Number 20995****TRANSMITTAL LETTER**  
**INFORMATION DISCLOSURE STATEMENT**

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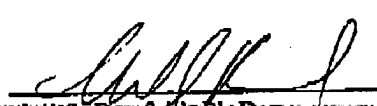
**Mail Stop Amendment**  
Commissioner for Patents  
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Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for  
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- (X) Listing 1 reference.

The Commissioner is hereby authorized to charge any additional fees which may be required, or  
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